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Short-term photovoltaic power production forecasting based on novel hybrid data-driven models

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Abstract

The uncertainty associated with photovoltaic (PV) systems is one of the core obstacles that hinder their seamless integration into power systems. The fluctuation, which is influenced by the weather conditions, poses significant challenges to local energy management systems. Hence, the accuracy of PV power forecasting is very important, particularly in regions with high PV penetrations. This study addresses this issue by presenting a framework of novel forecasting methodologies based on hybrid data-driven models. The proposed forecasting models hybridize Support Vector Regression (SVR) and Artificial Neural Network (ANN) with different Metaheuristic Optimization Algorithms, namely Social Spider Optimization, Particle Swarm Optimization, Cuckoo Search Optimization, and Neural Network Algorithm. These optimization algorithms are utilized to improve the predictive efficacy of SVR and ANN, where the optimal selection of their hyperparameters and architectures plays a significant role in yielding precise forecasting outcomes. In addition, the proposed methodology aims to reduce the burden of random or manual estimation of such parameters and improve the robustness of the models that are subject to under and overfitting without proper tuning. The results of this study exhibit the superiority of the proposed models. The proposed SVR models show improvements compared to the default SVR models, with Root Mean Square Error between 12.001 and 50.079%. Therefore, the outcomes of this research work can uphold and support the ongoing efforts in developing accurate data-driven models for PV forecasting.

Keywords: PV power forecast, Machine learning, Metaheuristic Optimization Algorithms, Hyperparameters and architectures tuning, Feature selection

Introduction

The tendency toward embracing emission-free energy from different renewable energy technologies, such as solar photovoltaic (PV), has resulted in necessary changes in the distribution system operation. These operational obstacles are due to the intermittency nature of the power coming from the sun, which requires additional ancillary services to control the variability in the PV system generations [1]. However, these services are economically unfeasible, and adopting them may discourage installing PV systems in the distribution networks [2]. Therefore, an accurate prediction of the amount of energy

from the PV system would facilitate mitigating the technical issues of these PV systems [3]. There are various forecasting objectives in electrical power systems, such as electrical load consumption [4–6], wind power [7–9], solar irradiance [10–12], and electricity market forecasts [3]. In this study, the solar PV power forecast is of focus.

PV power output is highly correlated with meteorological variables, such as solar irradiance, wind speed, humidity, and temperature. These variables depend mainly on the geographical location and the climate condition at the site in question. In terms of the PV power forecasting horizon, four main categories are considered: very short-term forecasting (1 s–<1 h), short-term forecasting (1 h–24 h), medium-term forecasting (1 week–1 month), and long-term forecasting (1 month–1 year). According to [13, 14], the PV output prediction horizon should be identified before choosing the forecasting technique because the forecasting accuracy decreases as the forecasting time increases. Furthermore, the choice of forecasting time depends on the desired application. For instance, very short-term forecasting can be applied for power smoothing, real-time dispatch and control, and regulation services, while short-term is primarily focused on load-following and zone-control purposes [15]. For medium-term forecasting, it is useful for persevering the power system planning and maintenance schedule, whereas long-term forecast assists in generation planning, energy bidding, and security operation [13].

Concerning the forecasting techniques, physical, statistical, and hybrid-based prediction models can be employed for PV power production. Physical approaches are mathematical models that use weather forecast data attained from numerical weather prediction (NWP), while statistical methods utilize historical data to predict future behavior without prior knowledge about the system state [16]. The hybrid method combines two independent forecasting methods to overcome each other drawbacks and strengthen the advantages by adding some optimization algorithms [3]. For the statistical methods, they are divided into (i) time series models, i.e., autoregressive, autoregressive moving average (ARMA), and autoregressive integrated moving average (ARIMA), and (ii) machine learning methods, i.e., artificial neural network (ANN), support vector regression (SVR), and extreme learning machine.

A systematic literature review of PV power production forecast can be found in [17]. The authors in [18] compare statistical approaches, namely ARMA, ARIMA, and seasonal ARIMA, with six different ANN to forecast the output power of a PV plant. Eight-time delays in power production from a PV plant are used as the input variables to generate the forecasting results. The analysis shows that ANN performs better than time series models with less computation time. The paper in [19] uses ANN and NWP data to predict the power output of a PV system located in Puglia, Italy. They use temperature and solar irradiation as predictors of the forecasting algorithm. Results show that the proposed model provides good prediction results with a 10% error value.

The authors in [20] present a methodology for PV power forecasts using machine learning algorithms and statistical post-processing. They use as forecasting methods the ANN and linear regression correction to enhance the accuracy of forecasting. Results show that the proposed model has good accuracy values as the Mean Absolute Percentage Error (MAPE) was 4.7% using the historical dataset. The study in [21] examines the performance of different machine learning algorithms to forecast the hourly production of a PV system, including k-nearest neighbor (kNN), multiple regression (MLR), and

decision tree regression (DTR). They employ weather data as input variables to the forecasting models such as solar irradiance and temperature. Results exhibit that the kNN has superior performance compared to MLR and DTR with a Root Mean Square Error (*RMSE*) of 18.68%, Mean Absolute Error (*MAE*) of 80.6%, and a normalized *RMSE* error (*nRMSE*) of 13.2%. The recent study in [22] compares 24 machine learning algorithms for a day-ahead power forecast using numerical weather predictions (NWP). The study concludes by stating that the selection of input variables and hyperparameter tuning is more important than the model selection. In their study, the model that considers the sun position angles and irradiance reading after statistical processing results in a 13.1% decrease in *RMSE* compared to the basic case (Global Horizontal Irradiance (GHI), temperature, and wind speeds).

Machine learning algorithms proved their effectiveness in different forecasting objectives as they can capture and deal with the nonlinearity in forecasting problems compared to other forecasting methods. The statistical approaches have the advantage of handling high data volume [23]. The SVR, on the other hand, has superior forecasting performance with small data samples [24]. In addition, ANN can conduct any non-linear mapping using the learning process [25]. This makes SVR and ANN favorable to be employed. However, the main drawback of applying SVR and ANN algorithms is that they are sensitive to specific parameters. For instance, SVR depends highly on Kernel function hyperparameters, namely the error penalty parameter (C) and the width (γ). Also, ANN performance is greatly influenced by the number of hidden layers and neurons at each hidden layer. Therefore, the hybrid models have been investigated by the literature recently to overcome the overmentioned disadvantages of SVR and ANN.

Metaheuristic optimization algorithms (MOA), such as Simulated Annealing (SA), Particle Swarm Optimization (PSO), Genetic Algorithm (GA), and Grasshopper Optimization Algorithm (GOA), have been used to select the appropriate parameters. For example, authors in [26] use GA to tune the SVR parameters to forecast the price of electricity in Australia. For the PV power generation forecast, a hybrid model is created in [27] between GA and SVR (GASVR) to optimize different Kernel function parameters. Study results demonstrate that GASVR is more accurate than the conventional SVR, with improvements in *RMSE* value of 669.624 and 98.7648% in the *MAPE*. In addition, the study by Netsanet et al. [28] proposes a hybrid PV power forecasting model using variation mode decomposition with ANN and Ant Colony Optimization (ACO). The role of ACO is to improve the performance of ANN by optimizing its weight and biases during the training phase. The proposed model shows high-accuracy outcomes with the coefficient of determination, R^2 , of 0.9768.

Motivation and contributions of the study

From the above discussion, the hybrid forecasting methods have shown a good performance compared to other methods. In addition, different MOA methods have been applied in the literature to improve the SVR and ANN prediction performance. The primary objective of such optimization algorithms is to determine the optimal parameters of SVR and ANN. However, there is no clear consensus on which algorithm should be used to estimate these parameters. Therefore, in this study, hybrid PV forecasting models are proposed based on machine learning algorithms, which utilize SVR and ANN

optimized with four MOA, namely Social Spider Optimization (SSO), PSO, Cuckoo Search Optimization (CSO), and Neural Network Algorithm (NNA). These algorithms are used to improve the predictive efficacy of the selected algorithms, where the optimal selection of their hyperparameters and architectures plays a significant role in yielding precise forecasting outcomes. Hence, the following are the primary contributions of this study to the field of PV power forecasting:

1. The SVR and ANN are machine learning algorithms used in this study to exploit the underlying big data patterns and forecast future values of PV power outputs.
2. As the prediction performance of SVR and ANN depends highly on their hyperparameters and architectures, respectively, an intelligent framework is proposed in this study to facilitate the burden of manual parameter setting and expedite the forecasting process.
3. As the optimal selection of their hyperparameters and architectures plays a significant role in yielding precise forecasting outcomes, this paper uses four MOA, namely SSO, PSO, CSO, and NNA, to improve the predictive efficacy of the selected algorithms.
4. This paper uses different independent combinations of variables as inputs to identify the suitable variables that give the best PV power forecasting outcomes. This will help overcome the computational burden and complexity that may exist in the input features. These variables are time, weather, and historical data of the PV power generation.
5. Despite that this work aims to forecast the output power of a PV system located in Riyadh city, Saudi Arabia, the proposed framework is useful for determining the best forecasting models in various locations.

The rest of the paper is organized as follows: In “[Methodology](#)” section, the study framework is described together with SVR and ANN algorithms and the MOA methods, including SSO, PSO, CSO, and NNA. The main findings and the comparison outcomes among the prediction models and MOA approaches are in “[Results and discussion](#)” section. Finally, “[Conclusion and future work](#)” section contains the conclusion of this study.

Methodology

This section presents the proposed hybrid forecasting techniques and other forecasting algorithms used in this study to predict the PV power output. The proposed forecasting methods include a hybrid method between SVR and backpropagation neural network (BPNN) with four MOA. These algorithms are SSO, PSO, CSO, and NNA. Initially, the forecasting framework is highlighted. After that, the fundamental of the BPNN and SVR are explained together with the MOA. Finally, the criteria to evaluate the forecasting models' accuracy are described.

Framework of the proposed forecasting models

Sixteen hybrid and three default models have been developed to enhance the accuracy of the prediction. In this study, therefore, the forecasting approaches used are as follows:

- SVR based on RB function with SSO, PSO, CSO, and NNA—($SSO - SVR_{RB}$), ($PSO - SVR_{RB}$), ($CSO - SVR_{RB}$), and ($NNA - SVR_{RB}$).
- SVR based on linear function with SSO, PSO, CSO, and NNA—($SSO - SVR_{linear}$), ($PSO - SVR_{linear}$), ($CSO - SVR_{linear}$), and ($NNA - SVR_{linear}$).
- BPNN model with one hidden layer with SSO, PSO, CSO, and NNA—($SSO - BPNN^1$), ($PSO - BPNN^1$), ($CSO - BPNN^1$), and ($NNA - BPNN^1$).
- Hybrid Model 10: BPNN model with two hidden layers with SSO, PSO, CSO, and NNA—($SSO - BPNN^2$), ($PSO - BPNN^2$), ($CSO - BPNN^2$), and ($NNA - BPNN^2$).
- Default SVR model based on RB function (SVR_{RB}^D).
- Default SVR model based on linear function (SVR_{linear}^D).
- Default BPNN model ($BPNN^D$).

This study implements SVR-based kernel functions and BPNN by employing MATLAB R2020a and LIBSVM tools [29]. The framework that explains the proposed PV power output forecast is depicted in Fig. 1. This framework can be used for any forecasting objective in other countries. The process is described as follows:

- Step 1: Data preparation: input data are initially collected, checked, cleaned, and normalized to reduce the numerical burden during the training phase by the forecasting algorithms and the searching process of the parameters.
- Step 2: Correlation values: the importance of data features are investigated against the output feature (PV power). The Pearson Correlation Coefficient is used in this study; see “[Feature combinations](#)” section.
- Step 3: Data splitting: the input data are divided into training and testing datasets. The training data are used to train the forecasting algorithms, while testing data are used to test the forecasting models’ performance. To validate the stability of the forecasting model, tenfold cross-validation is used. The cross-validation process is described in “[Cross-validation](#)” section.
- Step 4: Parameters tuning: SSO, PSO, CSO, and NNA algorithms are applied to determine the SVR best hyperparameters and BPNN best network configurations for all the considered feature combinations. SVR parameters are C and γ for the RB function and C for the linear function. BPNN parameters are the number of neurons at each hidden layer. In this study, one and two hidden layers are assumed.
- Step 5: Building the forecasting models: by using the best parameters mentioned in *Step 3*, sixteen hybrid models are generated for each of the considered feature combinations, namely $SSO - SVR_{RB}$, $PSO - SVR_{RB}$, $CSO - SVR_{RB}$, $NNA - SVR_{RB}$, $SSO - SVR_{linear}$, $PSO - SVR_{linear}$, $CSO - SVR_{linear}$, $NNA - SVR_{linear}$, $SSO - BPNN^1$, $PSO - BPNN^1$, $CSO - BPNN^1$, $NNA - BPNN^1$, $SSO - BPNN^2$, $PSO - BPNN^2$, $CSO - BPNN^2$, and $NNA - BPNN^2$.
- Step 6: Generating results: the hybrid forecasting models created in *Step 4* are tested under the testing dataset determined in *Step 2*. Their output is the prediction results.
- Step 7: Results comparison: the forecasting models are then compared with the

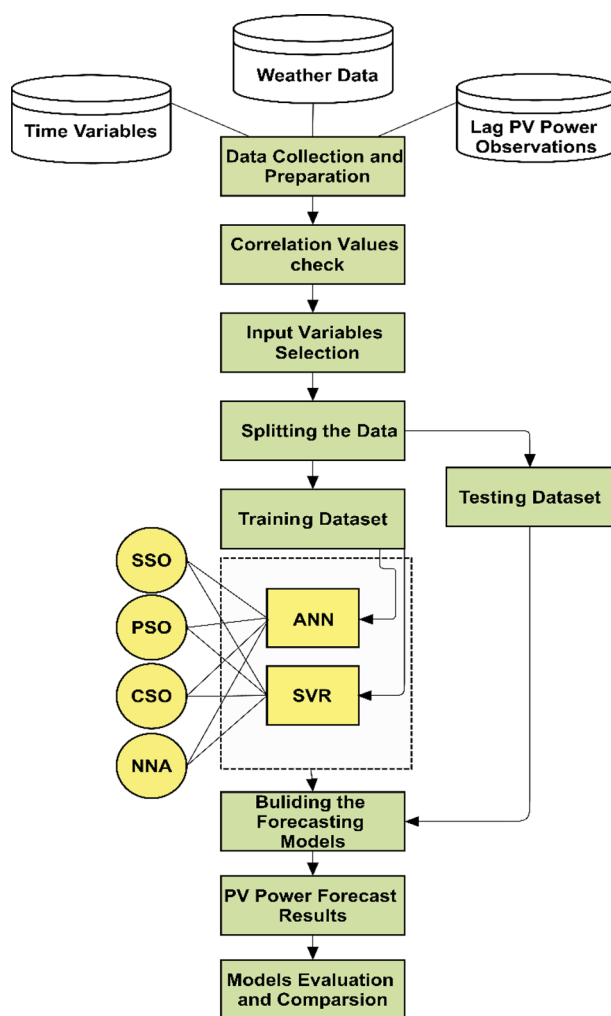


Fig. 1 Study framework for the proposed PV power forecasting method

actual values of the PV power output utilizing *RMSE*, *nRMSE*, *MAE* and normalized *MAE* s (*nMAE*). The results are compared and then analyzed.

Detail description of each process is explained in the subsections below.

Study site and dataset

The datasets of the PV power output are collected from a rooftop PV system placed on a mosque in Riyadh city, Saudi Arabia. Five PV-inverters are installed on this site, making the PV system have a capacity of 120kWp. This location is operated by both King Abdelaziz City for Science and Technology and Saudi Electricity Company. The PV output data gathered from the unit are in 1-h intervals for the period between June 03rd, 2017, and August 31st, 2018. The maximum power production from the system was found to be on March 25th, 2018, with a total active power production of 105.09285 kW at 11:00 A.M. The hourly data show numerical readings from the PV system at night hours when no irradiance is expected. To deal with such data, all data below 100 W are omitted and set to zero, implying

that there is no output power from the PV system. after sunset and before the sun rises. The metrological weather data used in this study are recorded hourly at the same location as the PV system. They are collected from a solar station operated by the King Abdullah City for Atomic and Renewable Energy (K.A.CARE). Figure 2 shows the solar map of Saudi Arabia and the site.

Data preparation

The weather and PV power data are required to be prepared. Two main steps are necessary for data preparation. These steps are data cleaning and data normalization. Each of these steps is described below:

Data cleaning

Data cleaning is a very significant step in creating a successful forecasting model. Since we are dealing with historical data from different sources, these data could be imprecise, impacting the performance of the forecasting models. This step removes all the missing PV power data with the associated time and weather variables.

Data normalization

Input data normalization is critical for preparing the data before investigating the performance of forecasting models. This step aims to reduce the likelihood that features with high numerical values will outnumber those with lower ones [31]. The input data listed in Table 1 are normalized between 0 and 1 using Eq. (1).

$$v_i^n = \frac{v_i - v_{min}}{v_{max} - v_{min}} \quad (1)$$

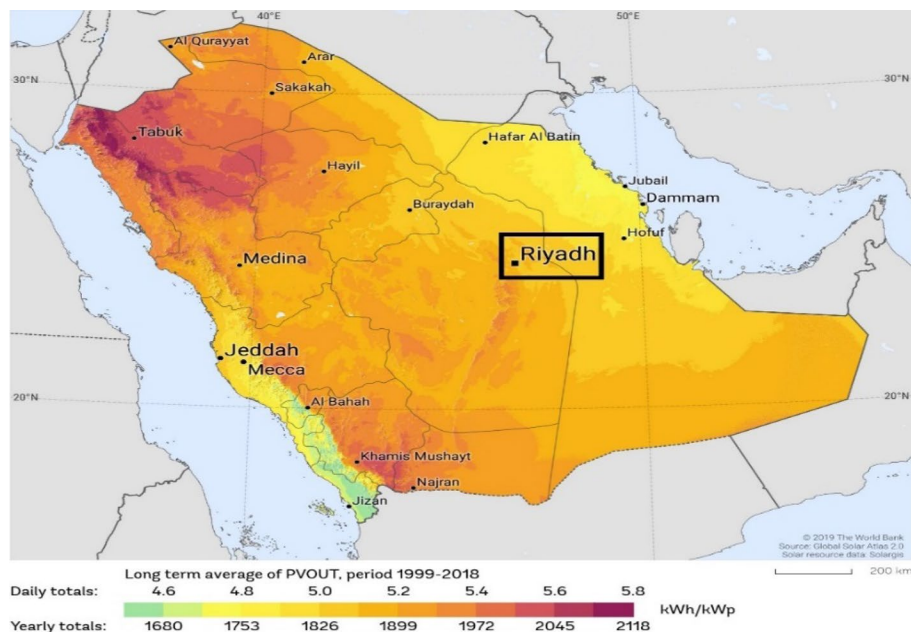


Fig. 2 Saudi Arabia solar map [30]

Table 1 List of input variables used to forecast PV power output

		Input variables
Time variables	v_i^1	Month
	v_i^2	Day
	v_i^3	Hour
Weather variables	v_i^4	Air temperature (°C)
	v_i^5	Wind direction (°N)
	v_i^6	Wind speed (m/s)
	v_i^7	Direct normal irradiance, DNI (Wh/m ²)
	v_i^8	Global horizontal irradiance, GHI (Wh/m ²)
Lag variables	v_i^9	Pressure (mB)
	v_i^{10}	PV power output at the same hour on the previous day (kW)

where x_i is the observed value; x_i^n is the reading value after normalization, while x_{max} and x_{min} are the maximum and minimum values corresponding to the observed dataset, respectively.

Forecasting models input variables

In this work, the forecasting objective is a one-hour ahead forecasting of PV power generation from a PV panel located in Riyadh city, Saudi Arabia. Therefore, to obtain the best forecasting model of PV power output, the proposed models are trained and tested using three types of variables considered at the study location. As mentioned in the literature, the PV output is greatly influenced by time, weather, and historical data of PV power generation. Table 1 lists the input variables used in this study.

The variable (v_i^4), for example, is the air temperature (°C), and i is the temperature value at each hour. On each day, we have 24 values of air temperature. After that, the dataset (V) is split into two groups, namely: the training dataset, v_{train} , and the test dataset, v_{test} , such that $V = v_{train} \cup v_{test}$. In this paper, 80% of the data are considered for the training phase, while 20% are used in the testing phase. The Cross-Validation technique is utilized to tune the hyperparameters of the SVR models and the BPNN network configuration.

Feature combinations

To forecast the PV output (P_{out}), various independent combinations of variables are used as inputs. As more input variables do not always indicate good forecasting outcomes [32], the primary goal of combining different sets of input variables is to identify the suitable variables that give the best forecasting results at this site. In this study, Pearson Correlation Coefficient is used to measure the importance of each variable with the observed values of the PV power. Pearson correlation formula is in Eq. (2), where cov is the covariance, $\sigma_{features}$ and $\sigma_{PV-power}$ are the standard deviations of input variables, $x_{features}$, and the PV power readings, $x_{PV-power}$, respectively. Figure 3 displays the correlation results between the input variables and the PV power readings at the study site.

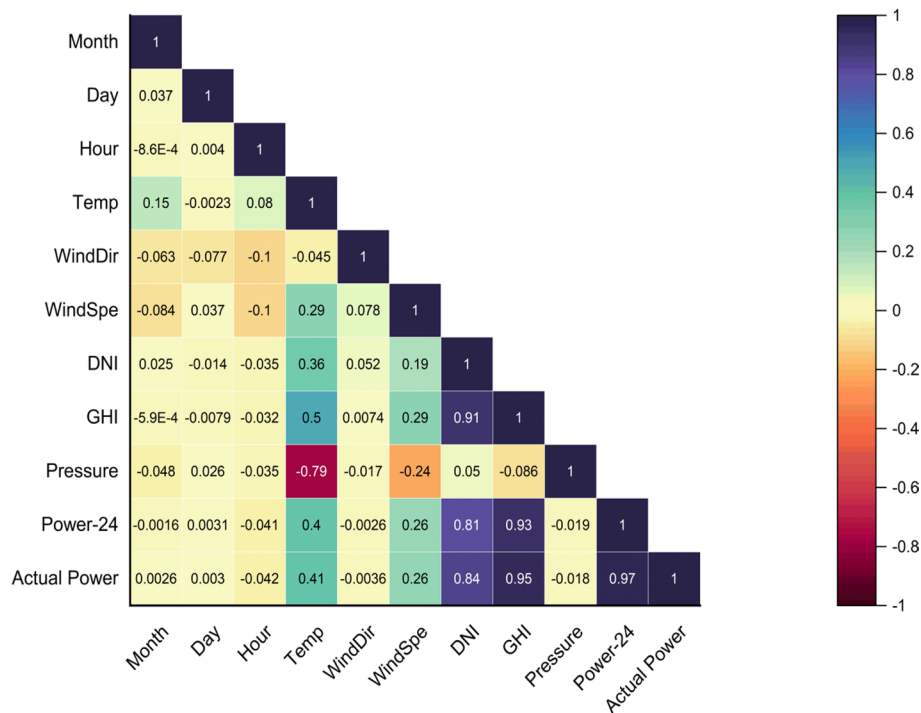


Fig. 3 Correlation coefficients results between the input features and the PV power output

$$\rho_{x_{features} \times x_{PV-power}} = \frac{COV(x_{features}, x_{PV-power})}{\sigma_{features} \cdot \sigma_{PV-power}} \tag{2}$$

Table 2 contains the variables for each feature combination. Considering the feature combination (12), for example, the $P_{out}(v_i^1, v_i^2, v_i^3, v_i^8, v_i^{10})$ is a function of Month, Day, Hour, global horizontal irradiance, GHI, (Wh/m^2), and PV power output at the same hour on the previous day (kW).

Cross-validation

To evaluate the performance of the proposed forecasting models, it is not ideal for conducting this evaluation based on one test set. Therefore, to examine the forecasting model performance over different test data, k -fold Cross-Validation should be employed. Cross-validation is a procedure in which the data are split into more k -subsets [33]; see Fig. 4. These k -subsets are further divided into testing and training groups. In the training group, a single subset is used as a validation data set, while the remaining k -subsets are used as training subsets. This technique is repeated k times until the entire k -subsets are used as a validation set. Hence, the overall result is independent of only one training set, which may affect the robustness of the forecasting models [34].

It is worth mentioning that the k -fold Cross-Validation procedure is conducted in the absence of the testing dataset. The primary goal of Cross-Validation is to examine the generalization of a forecasting model. In this study, tenfold cross-validation is used. In other words, the training dataset is divided into 10-subsets. One subset is considered the test set, while the remaining nine subsets are utilized for training the forecasting model.

Table 2 The input variables associated with each feature combination

Feature combination	Input variables
F1	v_i^3
F2	v_i^7
F3	v_i^8
F4	v_i^{10}
F5	v_i^8, v_i^{10}
F6	v_i^3, v_i^{10}
F7	v_i^4, v_i^{10}
F8	v_i^7, v_i^8, v_i^{10}
F9	v_i^4, v_i^8, v_i^{10}
F10	v_i^3, v_i^8, v_i^{10}
F11	$v_i^3, v_i^7, v_i^8, v_i^{10}$
F12	$v_i^1, v_i^2, v_i^3, v_i^8, v_i^{10}$
F13	$v_i^1, v_i^2, v_i^3, v_i^7, v_i^8, v_i^{10}$
F14	$v_i^1, v_i^2, v_i^3, v_i^4, v_i^8, v_i^{10}$
F15	$v_i^1, v_i^2, v_i^3, v_i^4, v_i^7, v_i^8, v_i^{10}$
F16	$v_i^1, v_i^2, v_i^3, v_i^4, v_i^5, v_i^6, v_i^7, v_i^8, v_i^{10}$
F17	$v_i^1, v_i^2, v_i^3, v_i^4, v_i^5, v_i^6, v_i^7, v_i^8, v_i^9, v_i^{10}$

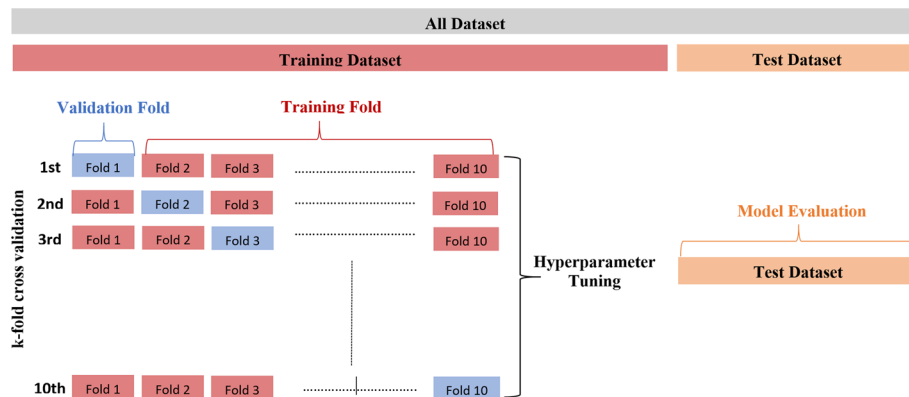


Fig. 4 k-fold Cross-Validation process

This process is repeated ten times resulting in ten training and testing folds, where the *nRMSE* is recorded for each of them. The average of the tenfold *nRMSE* results is then reported.

Backpropagation neural network

The artificial neural network (ANN) has been used in various forecasting applications. ANN is an information computing system. ANN mimics approaches that the human brain analyzes information [35]. ANN is created similar to the human brain, where a huge number of neuron nodes are interconnected to tackle problems that represent the uniqueness of this network. Backpropagation is one of the most widely used ANN methods in the learning process. Figure 5 depicts a multilayer feed-forward neural network.

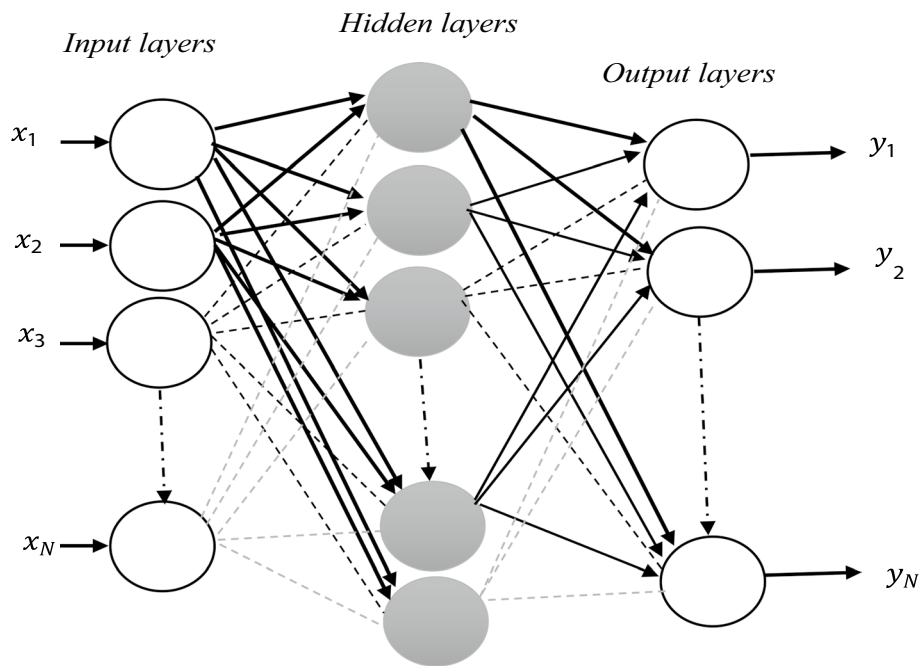


Fig. 5 Feed-forward neural network

Three different layers are the main construction of the ANN, namely input, hidden, and output layers, such as the input layer $[x_1, x_2, \dots, x_N]^T$, the hidden layer $[h_1, h_2, \dots, h_N]^T$ and the output layer $[y_1, y_2, \dots, y_N]^T$. The model output, therefore, can be calculated by Eq. (3) [36]:

$$y_i = \alpha_0 + \sum_{j=1}^n \alpha_j f \left(\sum_{i=1}^m \beta_{ij} y_{t-i} + \beta_{0j} \right) + \varepsilon_t \tag{3}$$

where m is the number of nodes at the input layer, while n is the number of nodes at the hidden layer. f is a sigmoid transfer function, which will be the logistic function in this study, $f(x) = \frac{1}{1+\exp(-x)}$. $\{\alpha_j, j = 0, 1, \dots, n\}$ is the weights vector that links the hidden layer and output layer and $\{\beta_{ij}, i = 1, 2, \dots, m; j = 0, 1, \dots, n\}$ are the weights that link the input nodes with the hidden nodes. α_0 and β_{0j} are weights magnitude of arcs leading from the bias terms, which have values equal to 1.

The number of nodes in each hidden layer is optimized using SSO, PSO, CSO, and NNA. This study identifies the multilayer perceptron (MLP) for the BPNN model, while the Levenberg–Marquardt method is chosen as the training function.

Support vector regression

Support vector machine (SVM) is a supervised learning approach utilized for classification, regression problems, or outliers’ detection. When two classes cannot be separated, a kernel function is employed to map the input space to another high dimension space [37]. In that new space, the input space can be separated linearly. There are three known kernel functions to conduct the separation: linear, polynomial, and radial kernel functions [38]. Hence, SVR inherently employs some of the SVM properties. However, unlike

SVM, SVR conducts the classification based on the regression process error measures based on the predefined threshold, see Fig. 6 [39].

The leading optimization can be formulated in Eq. (4), while the kernels used with the SVR are provided in Eqs. (5) and (6).

The SVR requires solving the following optimization problem:

$$\begin{aligned}
 & \text{minimize } \frac{1}{2} \|w\|^2 + C \sum_{i=1}^{\uparrow} (\xi_i + \xi_i^*) \\
 & \text{subject to } \begin{cases} y_i - (w^T \phi(x_i) + b) \leq \varepsilon + \xi_i^* \\ (w^T \phi(x_i) + b) - y_i \leq \varepsilon + \xi_i \\ \xi_i, \xi_i^* \geq 0 \end{cases} \quad (4)
 \end{aligned}$$

where $C > 0$ is a constant that identifies the trade-off between the flatness of f and assesses the tolerated amount of deviation to values larger than ε .

As we have mentioned, our input space represented by the input features, or the training dataset, is transferred into a new space with high dimensions, where the function ϕ is used. This is known as the kernel trick $(x_i, x_j) = \phi(x_i)^T(x_j)$. This research work uses kernel functions, namely radial basis (RB) and the linear (linear). They can be written as [40]:

$$(RB): K(x_i, x_j) = e^{-\gamma (\|x_i - x_j\|^2)} \quad (5)$$

$$(linear): K(x_i, x_j) = (x_i^T x_j) \quad (6)$$

where, γ (Gamma) is the kernel parameter and is estimated by the study optimization algorithms.

The choice of the two hyperparameters, C and γ , is critical in enhancing the accuracy of the forecasting models. The parameter C governs the empirical risk of SVR, while parameter γ controls the width of the radial basis function [41]. Researchers are accustomed to determining these parameters either by their insights, prior knowledge from other studies [42], or by using approaches such as grid search [39]. Hence, C and γ are

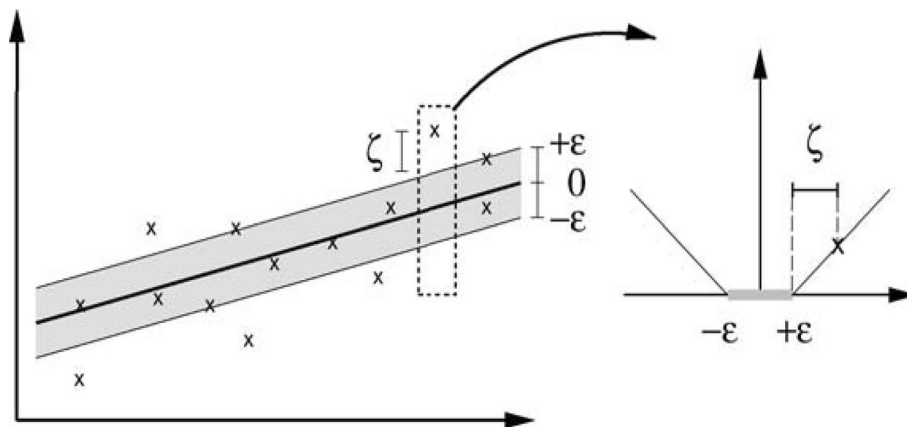


Fig. 6 The boundary margin for a linear SVR [39]

optimally selected by utilizing SSO, PSO, CSO, and NNA to build the hybrid models. This is described in the next Sections.

Metaheuristic optimization algorithms

The setup of metaheuristic optimization algorithms, including SSO, PSO, CSO, and NNA, is described in this section. The evaluation function of these algorithms tries to minimize the *nRMSE*; see Eq. (8).

SSO, PSO CSO, and NNA are explained in [43–46], respectively. The considered optimization algorithms are initiated with 50 maximum iterations. For the linear function, the upper and lower bounds for *C* are between [1, 10000], and for the RB function, the boundaries are in the range of [1, 10000] and [0.01, 3] for *C* and γ , respectively. For the BPNN models, the upper and lower bounds of neurons at each hidden layer are set to be [1,50]. For CSO, the following paraments are set: $h = 20$ and $p = 0.25$.

Figure 7 depicts the hybrid forecasting algorithm that consists of ANN with PSO. During the algorithms, the optimal number of nodes at each hidden layer is developed, and their values are obtained until the lowest *nRMSE* are attained. For parameter tuning, tenfold cross-validation is used. Similar steps are used with other optimization algorithms and SVR.

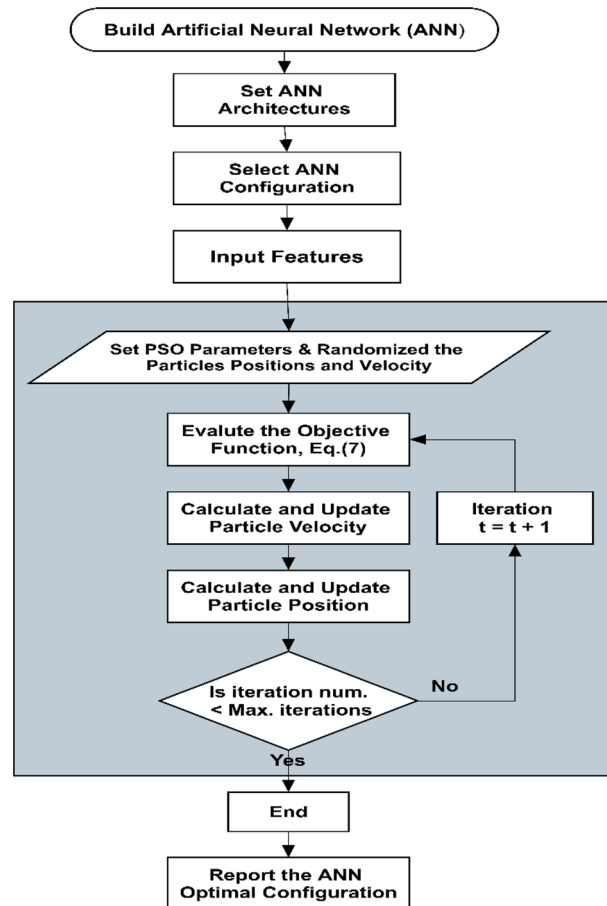


Fig. 7 The process of optimizing ANN configuration from the scope of PSO

Model accuracy criteria

The forecasting methods under consideration are evaluated for accuracy and efficiency using the following statistical indicators: $RMSE$, $nRMSE$, MAE , and $nMAE$. These metrics show how close the measured values are to the predicted PV power output produced by the proposed models. These metrics are defined in the following equations [19]:

$$RMSE = \sqrt{\frac{1}{n} \sum_{i=1}^n (y_i - f_i)^2} \quad (7)$$

$$nRMSE = \frac{\sqrt{\frac{1}{n} \sum_{i=1}^n (y_i - f_i)^2}}{y_{i,max}} \quad (8)$$

$$MAE = \sqrt{\frac{1}{n} \sum_{i=1}^n |y_i - f_i|} \quad (9)$$

$$nMAE = \frac{\sqrt{\frac{1}{n} \sum_{i=1}^n |y_i - f_i|}}{y_{i,max}} \quad (10)$$

where n is the number of testing datasets; y_i is the observed value of the PV power; $y_{i,max}$ is the maximum value in the testing dataset and f_i is the forecasted value generated by the forecasting models. $RMSE$ measures the deviation between observed PV power readings and predicted values [47], while the MAE is the mean of absolute value of the residuals (forecasting errors).

Results and discussion

The BPNN model was built using a multilayer perceptron (MLP) and the backpropagation algorithm, with the Levenberg–Marquardt method as the training function. Regarding the number of layers, this study assumes three cases for BPNN:

- Case 1: $BPNN^1$ —one input layer, one hidden layer, and one output layer.
- Case 2: $BPNN^2$ —one input layer, two hidden layers, and one output layer.
- Case 3: $BPNN^D$ —default BPNN.

The number of neurons (nodes) in the hidden layers in Case 1 ($BPNN^1$) and Case 2 ($BPNN^2$) are obtained based on the optimal number of nodes generated by SSO, PSO, CSO, and NNA. For Case 3 ($BPNN^D$) is assumed to have one input layer, one hidden layer, and one output layer. In $BPNN^D$, the number of neurons selected equals the number of input features listed in Table 2. For example, the number of nodes is one with the input feature combination (F1), which consists of one input feature. Similarly, five nodes are set for the feature combination (F12), which has five input features. The input data in the $BPNN$ are the same as those used in SVR models. Table 4 summarizes the $BPNN$ best models' configuration by using different algorithms.

For SVR models, the default parameters are selected based on the default values used in the LIBSVM tool. The default value of the parameter C is set to 1 for the radial basis and linear functions, while parameter γ is equal to $(1/\text{number of features})$. After that, the *SVR* and *BPNN* models with the optimal parameters and network configurations were employed to forecast the PV power generation. To evaluate the level of agreement between the predicted data and measured data, the models are examined based on *RMSE*, *nRMSE*, *MAE*, and *nMAE*. Table 3 compares the performance indices of the SVR and BPNN models.

Analysis of the forecasting models

In this section, the forecasting models are compared according to some criteria to examine their performance to predict the PV power output from the solar system. Results of the best forecasting models, corresponding optimized parameters of *SVR_{RB}*, *SVR_{Linear}*, *BPNN¹*, and *BPNN²* models and the statistical errors of the forecasting models are shown in Tables 3, 4, and 5, respectively. Figures 8 and 9 display graphical representations of the goodness of fit tests of *RMSE* (in Fig. 8) and *MAE* (in Fig. 9) in the form of heat maps. These figures compare all the 323 models considered at the study site. These are four forecasting models, *SVR_{RB}*, *SVR_{Linear}*, *BPNN¹*, and *BPNN²*, which obtain their parameters for each of the 17 feature combinations using four optimization approaches, SSO, PSO, CSO, and NNA, in addition to the three default models *SVR_{RB}^D*, *SVR_{Linear}^D*, and *BPNN^D*.

The statistical errors are reported for the best feature combination, which is F14 in Table 2.

The SVR parameters and BPNN network configurations are reported for the best feature combination, which is F14 in Table 2.

Table 3 Statistical errors of the best-proposed forecasting models using SSO, PSO, CSO and NNA

		<i>SVR_{RB}</i>	<i>SVR_{Linear}</i>	<i>BPNN¹</i>	<i>BPNN²</i>
SSO	<i>RMSE</i> (kW)	4.4751	10.6940	4.8460	5.0742
	<i>nRMSE</i> (%)	4.3374	10.3649	4.6969	4.9181
	<i>MAE</i> (kW)	2.5699	4.8621	3.1713	3.2365
	<i>nMAE</i> (%)	2.4909	4.7125	3.0737	3.1369
PSO	<i>RMSE</i> (kW)	4.487	9.1334	4.9735	4.5564
	<i>nRMSE</i> (%)	4.349	8.8524	4.8205	4.4223
	<i>MAE</i> (kW)	2.5728	4.8617	3.2410	2.8739
	<i>nMAE</i> (%)	2.4936	4.7121	3.1413	2.7854
CSO	<i>RMSE</i> (kW)	4.4795	10.6932	5.0788	4.5692
	<i>nRMSE</i> (%)	4.3417	10.3642	4.9226	4.4286
	<i>MAE</i> (kW)	2.5661	4.8637	3.3053	2.9614
	<i>nMAE</i> (%)	2.4871	4.7141	3.2036	2.8703
NNA	<i>RMSE</i> (kW)	4.4889	10.6732	5.0281	4.6608
	<i>nRMSE</i> (%)	4.3418	10.4944	4.8734	4.5175
	<i>MAE</i> (kW)	2.5752	4.8647	3.2662	2.9839
	<i>nMAE</i> (%)	2.4963	4.7311	3.4168	2.8921

Table 4 Models' parameters for SVR models and BPNN network configuration (SVR models: $\epsilon = 0.001$)

	SSO		PSO		CSO		NNA	
	C	γ	C	γ	C	γ	C	γ
SVR_{RB}	9239.9	2.9384	9854	2.8795	9136.8	2.85636	9203.64	2.948563
SVR_{Linear}	138.5539	–	0.63188	–	4461.461	–	64.67852	–
	SSO		PSO		CSO		NNA	
	Hidden	Hidden	Hidden	Hidden	Hidden	Hidden	Hidden	Hidden
	Layer 1	Layer 2	Layer 1	Layer 2	Layer 1	Layer 2	Layer 1	Layer 2
$BPNN^1$	19	–	18	–	17	–	16	–
$BPNN^2$	15	7	19	9	19	6	18	10

Table 5 Best proposed forecasting models vs. default models based on SVR and ANN

	$CSO - SVR_{RB}$	SVR_{RB}^D	$PSO - SVR_{linear}$	SVR_{linear}^D	$SSO - BPNN^1$	$CSO - BPNN^2$	$BPNN^D$
RMSE (kW)	4.4795	8.9733	9.1334	9.9392	4.8460	4.5692	5.2289
nRMSE (%)	4.3417	8.6972	8.8524	9.6334	4.6969	4.4286	5.0681
MAE (kW)	2.5661	5.2218	4.8617	4.9399	3.1713	2.9614	3.2892
nMAE (%)	2.4871	5.0612	4.7121	4.7879	3.0738	2.8703	3.1879

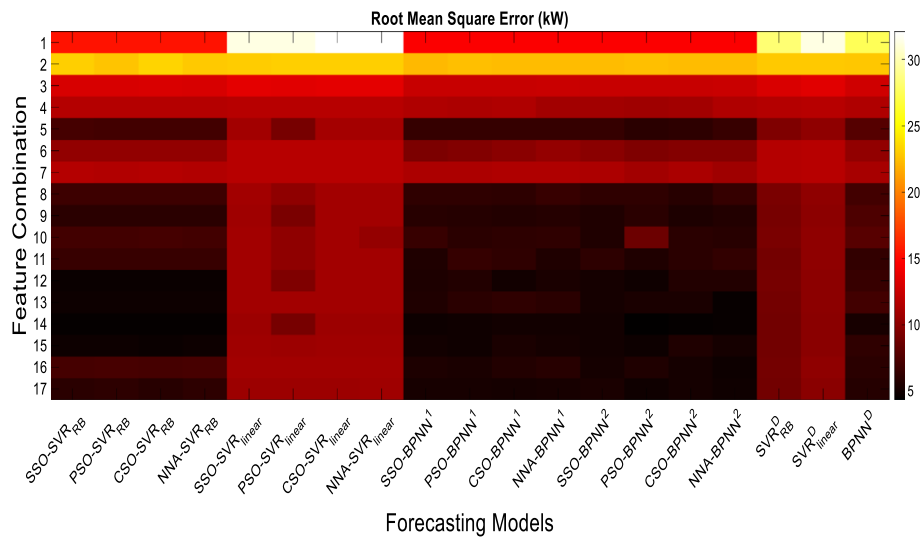


Fig. 8 RMSE values of the study models with the considered feature combinations

Hybrid forecasting models vs. default forecasting models

Tables 3, 5, Figs. 8, and 9 show that: (i) Overall, the proposed forecasting models optimized by SSO, PSO, CSO, and NNA outperform the default forecasting models in predicting the PV power output with low RMSE and MAE values. Regarding models fitting accuracy with the SVR_{RB} models, the proposed models with the optimized hyperparameters show improvements compared to default models, where RMSE improved between 12.001 and 50.079% and MAE improved between 1.80291 and

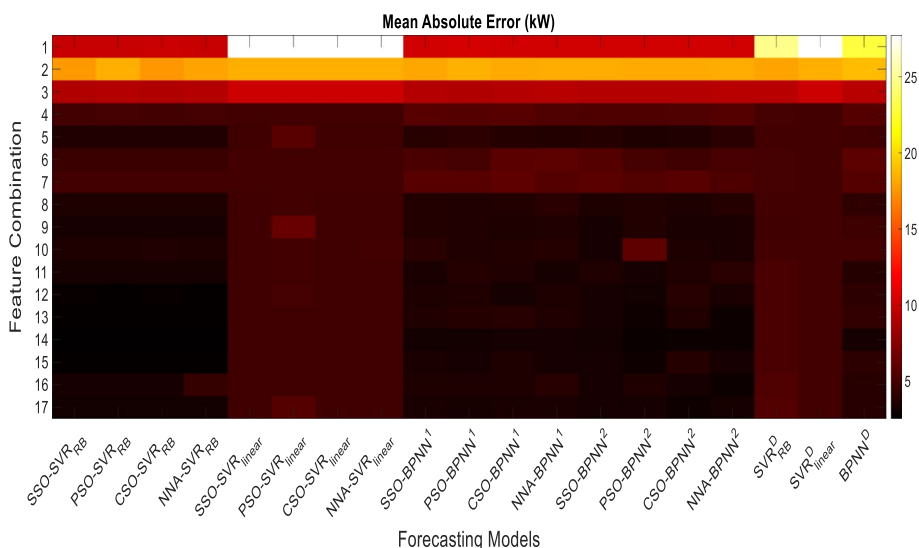


Fig. 9 MAE values of the study models with the considered feature combinations

59.8847%. Similarly, the prediction models with the $BPNN^1$ and $BPNN^2$ using the proposed models with the optimal network configurations have better performance with 1.883–46.964% and 2.0576–47.007% improvement in the $RMSE$ and MAE values, respectively, compared to the $BPNN^D$ models.

Using SVR_{RB} models with different optimization algorithms and different feature schemes, Table 6 and Fig. 8 show that $RMSE$ values are ≤ 23.12 kW, while $RMSE$ values with the default models are ≤ 28.24 kW. With the feature combination (12), for example, the value of $RMSE$ with the best model ($SSO - SVR_{RB}$) is 4.7500 kW, and MAE is 2.7617 kW. On the other hand, the SVR_{RB}^D gives an $RMSE$ value of 9.206 kW and MAE of 5.269 kW. Similarly, the reduction in the error metrics values has been attained with the proposed $BPNN$ models. For instance, considering the feature combination (9), the value of $nRMSE$ using $BPNN^1$ and $BPNN^2$ are 5.767% and 5.804%, respectively, while the $BPNN^D$ generates an error value of 7.25%. Nevertheless, the degree of improvement is somewhat low in the SVR_{Linear} models with the optimized parameters as a comparison to the SVR_{Linear}^D models. This can be attributed to the value of the optimized hyperparameter, C , which has close values to the default ones.

In addition, Figs. 8, 9, and Table 5 show that the $BPNN$ with the default models has better performance than the default models of the SVR with the radial base and the linear functions. This is due to the default parameters that are selected for the SVR models. Hence, the associated parameters should be chosen appropriately to obtain the best forecasting performance of the SVR models. The $BPNN$ default models, on the other hand, show good performance compared to the proposed models. For instance, the best model using $SSO - BPNN^1$ and $CSO - BPNN^2$ give $RMSE$ values of 4.8460 kW and 4.5692 kW, respectively, while the $BPNN^D$ model generates an error value of 5.2289 kW.

Table 6 The Statistical error results of different sets of feature combinations

F1		SVR_{RB}	SVR_{Linear}	BPNN¹	BPNN²
SSO	RMSE (kW)	14.4960	31.3237	14.6058	14.5608
	nRMSE (%)	14.0192	30.3600	14.1565	14.1128
	MAE (kW)	9.9074	27.6873	10.2919	10.3007
	nMAE (%)	9.6023	26.8354	9.6026	9.9837
PSO	RMSE (kW)	14.4938	31.2859	14.5992	14.5939
	nRMSE (%)	14.0171	30.3234	14.1501	14.1449
	MAE (kW)	9.8995	27.6931	10.3278	10.3009
	nMAE (%)	9.5750	26.8411	9.5950	9.9840
CSO	RMSE (kW)	14.5190	32.1062	14.5996	14.6109
	nRMSE (%)	14.0415	31.1184	14.1505	14.1614
	MAE (kW)	9.9408	27.7186	10.2778	10.3139
	nMAE (%)	9.5351	26.8658	9.6350	9.9966
NNA	RMSE (kW)	14.5210	32.0508	14.6058	14.6008
	nRMSE (%)	14.0437	31.0647	14.1316	14.1516
	MAE (kW)	9.8935	27.7093	10.2919	10.2736
	nMAE (%)	9.5712	26.8568	10.5862	9.9575
F12		SVR_{RB}	SVR_{Linear}	BPNN¹	BPNN²
SSO	RMSE (kW)	4.7500	10.9501	5.5316	5.1200
	nRMSE (%)	4.6039	10.6132	5.3614	4.9624
	MAE (kW)	2.7617	4.8848	3.6254	3.4040
	nMAE (%)	2.6768	4.7345	3.5139	3.2993
PSO	RMSE (kW)	4.7683	9.5483	5.7413	4.9426
	nRMSE (%)	4.6216	9.2545	5.5646	4.7906
	MAE (kW)	2.7563	5.0958	3.7354	3.1756
	nMAE (%)	2.6715	4.9390	3.6205	3.0779
CSO	RMSE (kW)	4.7799	10.9102	5.1076	5.7279
	nRMSE (%)	4.6328	10.5746	4.9504	5.5516
	MAE (kW)	2.7943	4.8865	3.3402	4.0031
	nMAE (%)	2.7083	4.7361	3.2374	3.8800
NNA	RMSE (kW)	4.7683	10.9497	5.5316	5.7289
	nRMSE (%)	4.6216	10.6129	5.2403	5.5526
	MAE (kW)	2.7563	4.8847	3.6328	3.4888
	nMAE (%)	2.6715	4.7344	3.5210	3.3815
F16		SVR_{RB}	SVR_{Linear}	BPNN¹	BPNN²
SSO	RMSE (kW)	5.1403	10.9640	5.4770	5.1566
	nRMSE (%)	4.9206	10.6267	5.3085	4.9980
	MAE (kW)	3.3201	4.8847	3.5821	3.3352
	nMAE (%)	3.2249	4.7344	3.4719	3.2326
PSO	RMSE (kW)	5.2250	10.9619	5.4168	5.5929
	nRMSE (%)	5.0027	10.6246	5.2501	5.4208
	MAE (kW)	3.4480	4.8830	3.5586	3.6938
	nMAE (%)	3.3419	4.7328	3.4492	3.5802

Table 6 (continued)

F16		SVR_{RB}	SVR_{Linear}	$BPNN^1$	$BPNN^2$
CSO	<i>RMSE</i> (kW)	5.1450	10.9634	5.6816	5.1768
	<i>nRMSE</i> (%)	5.0152	10.6261	5.5068	5.0176
	<i>MAE</i> (kW)	3.3145	4.8846	3.6281	3.3326
	<i>nMAE</i> (%)	3.2095	4.7343	3.5165	3.2301
NNA	<i>RMSE</i> (kW)	4.7250	10.9593	5.4770	4.8391
	<i>nRMSE</i> (%)	4.5027	10.6221	5.7398	4.6902
	<i>MAE</i> (kW)	3.0532	4.8852	4.0252	3.0557
	<i>nMAE</i> (%)	2.7419	4.7349	3.9013	2.9617

Performance analysis using proposed models

From Tables 3, 6, Figs. 8, and 9, and by comparing different forecasting models using the proposed models, SVR_{RB} models can be considered the best prediction model to estimate the PV power generation in the study site. SVR_{RB} has better error metrics values than SVR_{Linear} , $BPNN^1$, and $BPNN^2$ with low *RMSE* and *MAE* values. For instance, $PSO - SVR_{RB}$ models are better than $PSO - SVR_{Linear}$, $PSO - BPNN^1$, and $PSO - BPNN^2$ for all the considered feature combinations. This result is also found with other optimization methods. $BPNN$ models, on the other hand, have a better performance than the SVR models with the linear function and promising performance compared to the RB models. As a comparison between the proposed $BPNN$ models, overall $BPNN^2$ models have led to better prediction capability than the $BPNN^1$. $PSO - BPNN^2$ with the feature combination (11), for example, gave an *nRMSE* of 3.251% and *nMAE* values of 3.251%, while $PSO - BPNN^1$ resulted in error values of 6.297% and 3.819%, respectively. This implies that using more than one hidden layer with optimized node numbers leads to higher forecasting accuracy than a single hidden layer.

Performance analysis of optimization algorithms

Furthermore, as a comparison between the performance of the optimization algorithms, more than one optimization approach has the same accuracy and performance in estimating the parameters of the forecasting models. PSO and SSO methods have a similar or negligible difference in terms of estimating parameter values. Nevertheless, the three optimization algorithms show different performances in obtaining the C parameter of the linear function. Furthermore, linear models performed the worst because of their limited ability to deal with nonlinearity in input data. Figure 10 shows the performance of all four optimization algorithms with all the forecasting models. This figure proves that the proposed hybrid forecasting models where the hyperparameters of SVR_{Linear} and SVR_{RB} and the configuration of $BPNN^2$ are selected optimally can track the actual values of the PV power output precisely compared to default models.

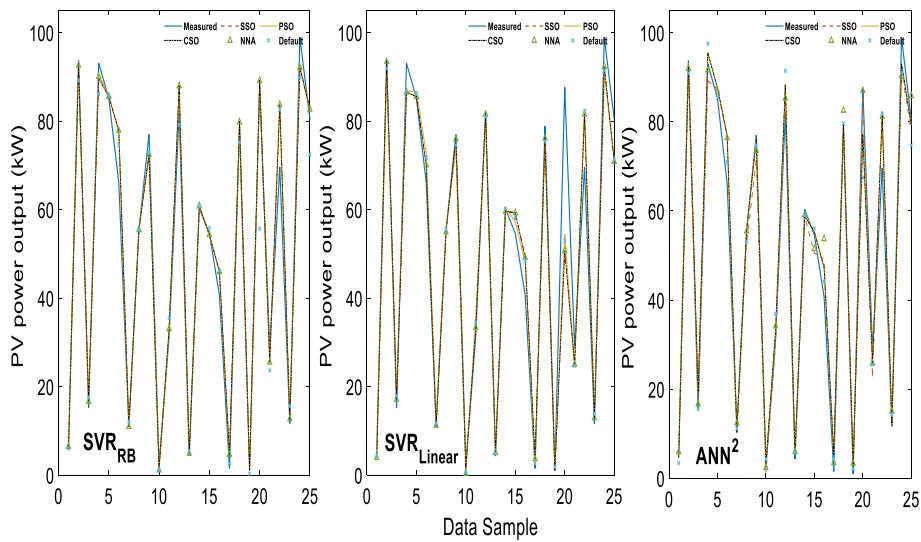


Fig. 10 The performance of the hybrid forecasting models with the optimization algorithms

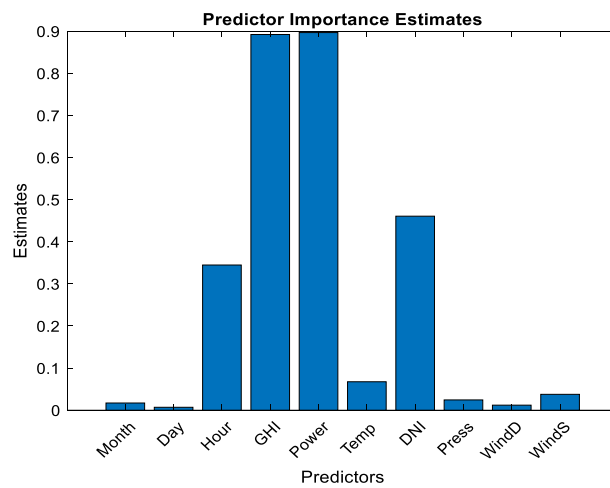


Fig. 11 The importance of features based on the Decision Tree (DT) algorithm

Best feature combination

From Tables 3, 6, Figs. 8, and 9, and by comparing different feature combinations, we can see that the best forecasting model is attained with the feature combination (F14). This combination includes the month in the year, the day of the month, the hour of the day, air temperature (°C), global horizontal irradiance, GHI (Wh/m²), and PV power output at the same hour on the previous day (kW). Results show that the best forecasting outcomes for all considered models are obtained using this feature combination. Regarding other feature combinations, using only global horizontal irradiance (F3), PV power output at the same hour on the previous day (F4), or a mix between them could lead to satisfactory forecasting results. GHI provided good accuracy results due to its significant impact on the PV system production, while the lag power observation is due to the nature of solar radiation in the study site. In Riyadh

city, the nature of the weather is less variable, and there are two seasons in the year, summer and winter. Therefore, the power output of the previous day may influence the production of the next day. This is depicted in Fig. 11 through the scatter plots of the measured vs. predicted PV power output values acquired by the SVR_{RB} with the CSO algorithm. The subplot in green displayed in Fig. 12 indicates the best prediction model with the best feature combination (CSO – SVR_{RB} with F14).

Furthermore, the Decision Tree (DT) algorithm for feature selection is used to validate the conclusion on the combination of the optimal features [48]. Figure 11 displays the scores for input features according to how relevant they are to predicting the PV power output. Figure 11 reveals that the features: PV power output at the same hour on the previous day (kW), global horizontal irradiance, GHI (Wh/m^2), Direct normal irradiance, DNI (Wh/m^2), the hour of the day, and air temperature ($^{\circ}C$) have the best five scores among the other features. This correspond to the best feature combination obtained in this study.

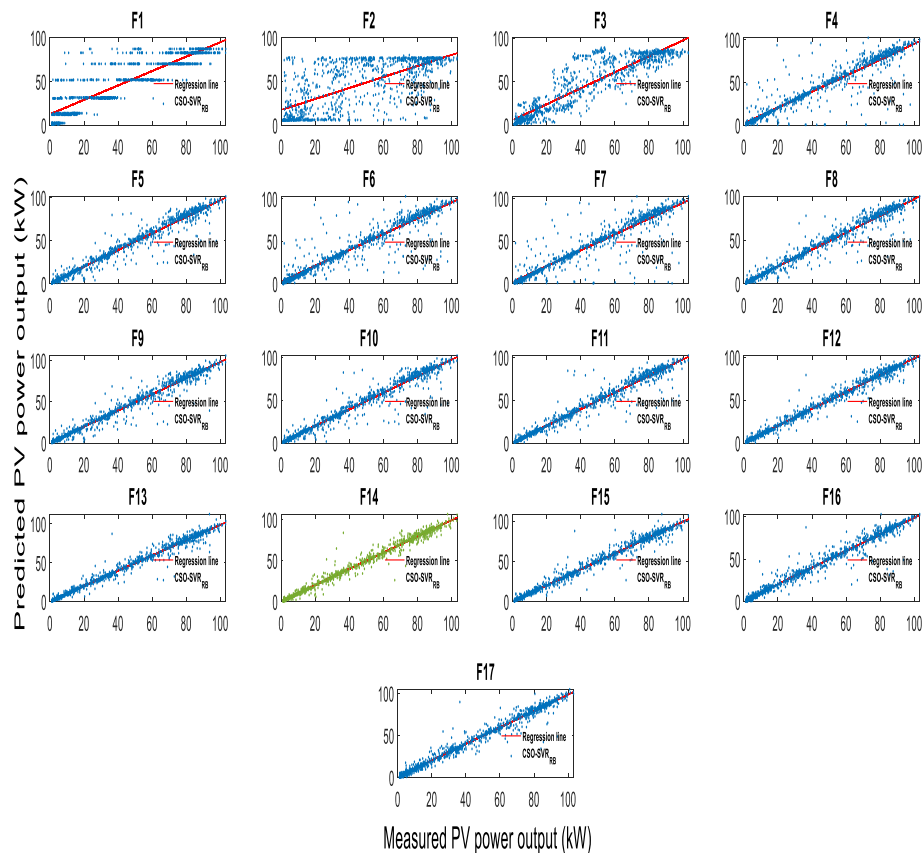


Fig. 12 Scatter plots of the measured vs. observed PV power output using SVR_{RB} with CSO algorithms with all feature combinations

Conclusion and future work

Support Vector Regression (SVR) with radial basis and linear kernel functions and Back-propagation Neural Network (BPNN) models were investigated in this study to predict the PV output power of the rooftop PV unit placed on a mosque located in Riyadh city, Saudi Arabia. The penalty factor (C) and kernel parameter (γ) of the SVR models with the radial and linear functions and the number of hidden nodes of the artificial neural network were optimized using four optimization algorithms. These algorithms are Social Spider Optimization (SSO), Particle Swarm Optimization (PSO), Cuckoo Search Optimization (CSO), and Neural Network Algorithm (NNA). Different combinations of input variables are used in this study to select the optimal set of input features. By analyzing the results of the best forecasting model and the performance of the estimation algorithms, the conclusion can be summarized as follows:

1. According to the model accuracy criteria, the proposed hybrid PV power forecasting models outperform the default models using SVR with the RB, linear functions, and BPNN algorithms. Overall, results indicate that the proposed models with the optimized hyperparameter of the SVR with radial basis outperform other models in forecasting PV power output at the study site.
2. Regarding the model fitting accuracy with the SVR_{RB} , the proposed models show improvements compared to the default models, where $RMSE$ improved between 12.001 and 50.079% and MAE improved between 1.80291 and 50.8847%. Similarly, the prediction models with the $BPNN^1$ and $BPNN^2$ using the proposed models, with optimal network configurations, have better performance with 1.883–46.964% and 2.0576–47.007% improvement in the $RMSE$ and MAE values, respectively, compared to the default $BPNN$ models.
3. The proposed BPNN models exhibit a good forecasting outcome that can be compared with the SVR radial basis models. On the other hand, the SVR based on the linear function showed limited forecasting performance due to its limited capability to capture the nonlinearity in the input dataset.
4. As a comparison between the estimation algorithms, the four optimization algorithms almost have the same performance, demonstrating their capacity to select SVR parameters and BPNN network configurations.

Finally, the framework proposed in this study can be used to forecast the PV power output in other countries. However, there is still room for further investigation to develop a model that provides high-accuracy results to predict the PV power forecast. Furthermore, even though the current work primarily focuses on the possible improvement of SVR and ANN by optimizing their parameters, the parameters of other algorithms can also be investigated, such as random forests and decision trees. Another possible direction is to use dimensionality reduction models to select the features for our input vector. In this study, different feature combinations are formulated based on their correlation with the output power. Thus, other researchers can examine the performance of some dimensionality reduction models, such as the Monte Carlo algorithm, Boruta feature selection algorithm, and grouping genetic algorithm, to obtain the optimal set of features. Finally, further forecasting approaches can be applied, including the

currently popular deep learning methods based on neural networks, such as recurrent neural networks and long-term short memory.

Abbreviations

PV	Photovoltaics
NWP	Numerical weather prediction
ARMA	Autoregressive moving average
ARIMA	Autoregressive integrated moving average
ANN	Artificial Neural networks
BPNN	Backpropagation Neural Network
SVR	Support Vector Regression
kNN	K-nearest neighbor
MLR	Multiple regression
DTR	Decision tree regression
GHI	Global Horizontal Irradiance
MOA	Metaheuristic optimization algorithms
SSO	Social Spider Optimization
PSO	Particle Swarm Optimization
CSO	Cuckoo Search Optimization
NNA	Neural Network Algorithm
RMSE	Root Mean Square Error
nRMSE	Normalized Root Mean Square Error
MAE	Mean Absolute Error
nMAE	Normalized Mean Absolute Error

Acknowledgements

The author extends his appreciation to the Deputyship for Research & Innovation, Ministry of Education, Saudi Arabia for funding this research work through the project number (QU-IF-4-3-3-31464). The authors also thank to Qassim University for technical support.

Author contributions

M.S.: conceptualization, methodology, software, validation, formal analysis, investigation, resources, data curation, writing—original draft, writing—review and editing, visualization. S.R.: validation, formal analysis, supervision, project administration. All authors read and approved the final manuscript.

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Funding

The author extends his appreciation to the Deputyship for Research & Innovation, Ministry of Education, Saudi Arabia for funding this research work through the project number (QU-IF-4-3-3-31464). The authors also thank to Qassim University for technical support.

Availability of data and materials

The data that support the findings of this study are available from King Abdelaziz City for Science and Technology and Saudi Electricity Company but restrictions apply to the availability of these data, which were used under license for the current study, and so are not publicly available. Data are however available from the authors upon reasonable request and with permission of King Abdelaziz City for Science and Technology and Saudi Electricity Company.

Declarations

Ethics approval and consent to participate

Not applicable.

Consent for publication

Not applicable.

Competing interests

The authors declare that they have no competing interests.

Received: 13 August 2022 Accepted: 23 February 2023

Published online: 02 March 2023

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